

TRANSACTION MONITORING: BEYOND TICK-BOX COMPLIANCE



Latest trends in transaction monitoring and
how to adopt a holistic risk based approach

Tuesday 14 May, 12pm BST

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Dear,

Anti-Money Laundering (AML) and Countering the Financing of Terrorism (CFT) remain significant financial crime concerns for governments and regulators alike, U.S. and UK regulators continue to impose significant fines for AML and CFT failings, for example in 2012 HSBC was fined a record \$1.9 billion by the U.S. authorities. Inadequate monitoring of transactions or detection of suspicious activity is one of the most frequent enforcement actions implemented by regulators.

In recent years, organisations have frequently looked to technology to help the compliance function monitor transactions. Initially, the implementation of an AML transaction monitoring solution was sufficient to satisfy the regulators. However, in recent years the bar on what constitutes an adequate transaction monitoring solution has been raised substantially. Current regulatory guidelines and business requirements demand that the monitoring solutions become more effective and, be more efficient.

In this special one hour long webcast, Rowan Bosworth-Davies, white collar crime consultant at Bosworth Fields and former Scotland Yard detective and lawyer will examine the current trends in transaction monitoring, showing how business can adopt a holistic risk based approach to their compliance programmes in order to remain compliant and avoid reputational and financial damage.

REGISTER FOR WEBCAST

Time: 12.00pm BST

Date: 14th May'13

Topic: Transaction
Monitoring:
Beyond Tick-Box
Compliance

Speakers: Rowan Bosworth-
Davies

Gary Duxbury

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